

01-14-02

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PATENT

Docket No. IL-10822

Customer No. 24981

Commissioner for Patents
Washington, DC 20231

J1002 U.S. PTO
10/043449
01/10/02

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

Ai Quoc Pham and Robert S. Glass

For (title): **HIGH POWER DENSITY SOLID OXIDE FUEL CELLS AND METHOD
OF FABRICATION**

1. Type of Application

- ☒ This new application is for an original patent.
- ☐ This new application is a:
- ☐ Division
 - ☐ Continuation
 - ☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

- ☐ The new application being transmitted claims the benefit of prior U.S. application(s).

3. ☒ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listed below:

Application Serial No. 60/274,198 Filing Date March 8, 2001

4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

12 Pages of specification with title page

3 Pages of claims

1 Pages of abstract

2 Sheets of drawings

☒ formal

5. Additional papers enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ Form PTO-1449

6. Declaration or oath

- ☒ Enclosed and executed by
☒ inventors
☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
☐ Not Enclosed

7. Assignment

- ☒ An assignment of the invention to The Regents of the University of California.
☒ is attached
☐ will follow

8. Certified Copy

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
☐ will follow

9. Fee Calculation

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	27	-20 =	7	x \$18 =	\$ 126.00
Independent Claims	3	-3 =	0	x \$84 =	\$ 0.00
Multiple Claims				=	\$
Basic Filing Fee				=	\$ 740.00
Sub-Total				=	\$ 866.00
Small Entity Filing Fee				=	\$ 433.00

10. **Small Entity Statement(s)**

☒ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.

☐ Small Entity status is proper and desired

Filing Fee Calculation (50% of regular filing fee) \$ 433.00

11. **Fee Payment**

☐ Not Enclosed

☒ Enclosed (See Account No. Below)

Total Basic Filing Fees To Be Paid \$ 433.00

12. **Method of Payment of Fees**

☐ Check in the Amount of \$ _____

☒ Charge Account No. 12-0695 in the amount of \$ 433.00

A duplicate of this transmittal is attached.

13. **Instructions As To Overpayment/Underpayment**

☒ credit/charge

Account No. 12-0695

☐ refund



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Dated: 1-10-02

SECRET

Docket No. : IL-10822

Art Unit :

Examiner :

Commissioner for Patents
Washington, D.C. 20231

"Express Mail" label number EL534394376US

Date of Deposit 1-10-02

I hereby certify that the following *attached*

1. Recordation Cover Sheet w/Assignment (3 pages)
2. New Application Transmittal (in duplicate)
3. Application (Specification with title page 12 pages, Claims 3 pages, Abstract 1 page)
4. Combined Declaration and Power of Attorney (3 pages)
5. Two (2) sheets of formal drawings
6. Verified Statement Claiming Small Entity Status
7. Express Mail Certificate
8. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Box: Patent Application, Washington, D.C. 20231.

April Masluk

(Type or print name of person mailing paper)

(Signature of person mailing paper or fee)